

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

STATEMENT UNDER 37 CFR § 1.97(e):

☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

- ☐ A check in the amount of _____ is enclosed for the above fee(s).
☐ Please charge _____ to Deposit Account No. **150665** for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Orrick, Herrington & Sutcliffe's Deposit Account No. **150665**.

Respectfully submitted,
ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: August 19, 2003

By: 
Kurt T. Mulville, Reg No. 37,194

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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Us s v ral she ts if n c ssary)	ATTY. DOCKET NO. 703714.4012	SERIAL NO. Not yet assigned
APPLICANT: Robert B. Carter, III, et al.		
FILING DATE: Herewith (August 19, 2003)		GROUP: Not yet assigned

U.S. PATENT DOCUMENTS							
EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	1.	Des. 321,159	10/29/1991	Schlachter			
	2.	Des, 420,969	2/22/2000	Schlachter			
	3.	4,938,519	7/2/1990	Schlachter			
	4.	5,016,932	5/21/1991	Carter			
	5.	5,324,089	7/28/1994	Schlachter			
	6.	5,498,049	3/12/1996	Schlachter			
	7.	5,564,768	10/15/1996	Saffold			
	8.	5,564,776	10/15/1996	Schlachter			
	9.	5,598,962	2/4/1997	Schlachter			
	10.	5,992,719	11/30/1999	Carter, III			
	11.	6,059,341	5/9/2000	Jensen, et al.			
	12.	6,059,159	5/9/2000	Fisher			
	13.	6,065,794	5/23/2000	Schlachter			
	14.	6,082,804	7/4/2000	Schlachter			
	15.	6,113,179	9/5/2000	Szigeti			
	16.	US 6,170,724 B1	1/9/2001	Carter, et al.			
	17.	US 6,174,012 B1	1/16/2001	Saffold			

FOREIGN PATENT DOCUMENTS							
EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
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DOCSOC1:141177.1 703714-1 K2MEXAMINER: Not yet assigned	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	